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TRANSMIT	FORM	Application Number:	10/072,975		<b>_</b>
(to be used for all correspond	dance ofter	Filing Date:	February 9, 2002	AUG	
initial filing)	dence after	First Named Inventor:	Efimov	0 6	Ì
		Group Art Unit:	1651	200	<
		Examiner:	tbd		٠.
Total Pages in This Submission:	13	Attorney Docket Number:	AM-00102.P.1.1-US		

ENCLOSURES (check all that apply)						
[x] Fee Transmittal Form	[] Assignment Papers (for an Application)	[] After Allowance Communication to Group				
[] Fee Attached	[] Drawing(s)	[] Appeal Communication to Board of Appeals and Interferences				
[] Amendment / Response	[] Licensing-related Papers	[] Appeal Communication to Group (Appeal, Notice, Brief, Reply Brief)				
[] After Final	[] Petition Routing Slip (PTO/SB/69) and Accompanying Petition	[] Proprietary Information				
[] Affidavits / Declarations(s)	[] Petition to Convert to a Provisional Application	[] Status Letter				
[] Extension of Time Requests	[] Power of Attorney, Revocation, Change of Correspondence Address	[x] Additional Inclosures, identified below:				
[] Express Abandonment Request	[] Terminal Disclaimer	Copies of References Cited Postcard				
[x] Information Disclosure Statement	[] Small Entity Statement					
[] Certified Copy of Priority Document(s)	[] Request for Refund					
[ ] Response to Missing Parts   Incomplete Application	Remarks:					
[] Response to Missing Parts under 37 C.F.R. 1.52 or 1.53						

SIC	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or Individual Name	Daxid R. Preston						
Signature	Control of the						
Date	LE 2 1 2 2 0 12 0 12						

	CERTIFICATE OF MAILING		
•	orrespondence is being deposited with the United States is mail in an envelope addressed to: Assistant Commissioner O.C. 20231 on this date.	Date:	7/25
Typed or printed name:	Dayid R. Preston		
Signature		Date:	7/2:60

FEE TRA	VSMITTAL RADEMART	Co	mplete if Known
Patent fees are subject to annual These are the fees effective O	ual revision on October 1. october 1, 1997.	Application Number:	10 072.975
Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See		Filing Date:	February 9, 2002
Forms P1O SB 09-12. See 3/	Forms PTO SB 09-12. See 37 C.F.R. §§ 1.27 and 1.28.		Etimov
		Group Art Unit:	1651
Total Amount of Payment	\$ 0.00	Docket Number:	AM-00102.P.1.1-US

METHOD OF PAYMENT
<ol> <li>[] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:         Deposit Account Number: []         Deposit Account Name: []         [] Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17         [] Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance.</li> </ol>
2. [] Payment Enclosed [] Check [] Money Order [] Other

	FEE CALCULATION								
1. BASIC FII	. BASIC FILING FEE								
	Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid			
	101	790	201	395	Utility Filing Fee	s			
	106	330	206	165	Design Filing Fee	\$			
	107	540	207	270	Plant Filing Fee	s			
	108	790	208	395	Reissue Filing Fee	s			
	114	150	214	75	Provisional Filing Fee	s			
				st	BTOTAL (1)	\$0.00			

2. EXTRA C	LAIM FE	ES							
				Extra Claims		Fee From Below		Fee Paid	
Total Claims	[]	-20 **	Equals	[]	Times	[]	Equals	S	
Independen t Claims	[]	-3 **	Equals	[]	Times	[]	Equals	\$	
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	Large E	Fee (\$)	Small Enti	ty Fee (\$)		Fee Desc	cription		
	103	22	203	11	Claims in	excess of 20	·		
	102	82	202	41	Independe	ent claims in exc	cess of 3		
	104	270	204	135	Multiple o	dependent claim	, if not paid		
	109	82	209	41	** Reissu patent	e independent c	taims over o	original	
	110	22	210	11	** Reissu	e claims in exce	ss of 20 and	over	

| Diginal patent

Large	Large Entity Small Entity		Entity			
Fee Code	Fee (S)	Fee Code	Fee (S)	Fee Description	Fee Paid	
105	130	205	65	Surcharge - late filing fee or oath	S	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$	
139	130	139	130	Non-English specification	S	
147	2,520	147	2,520	For filing a request for reexamination	S	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	s	
113	1.840*	113	1,840*	Requesting publication of SIR after Examiner action	5	
115	110	215	55	Extension for reply within first month	s	
116	400	216	200	Extension for reply within second month	5	
117	950	217	475	Extension for reply within third month	\$	
118	1,510	218	755	Extension for reply within fourth month	S	
128	2,060	228	1,030	Extension for reply within fifth month	s	
119	310	219	155	Notice of Appeal	\$	
120	310	220	155	Filing a brief in support of an appeal	s	
121	270	221	135	Request for oral hearing	\$	
138	1,510	138	1.510	Petition to institute a public use proceeding	8	
140	110	240	55	Petition or revive - unavoidable	s	
141	1,320	241	660	Petition or revive - unintentional	\$	
142	1.320	242	660	Utility issue fee (or reissue)	\$	
143	450	243	225	Design issue fee	\$	
144	670	244	335	Plant issue fee	\$	
122	130	122	130	Petitions to the Commissioner	\$	
123	50	123	50	Petitions related to provisional applications	s	
126	240	126	240	Submission of Information Disclosure Statement	\$	
581	40	581	40	Recording each patent assignment per property	S	
146	790	246	395	Filing a submission after final rejection (37 C F R 1 129(a))	\$	
149	790	249	395	For each additional invention to be examined (37 CFR 1 129(b))	\$	
Other fee (s	pecitics.	<u></u> 1			\$	

Reduced by Basic Filing Fee Paid	Subtotal (3)	\$ 0.00
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4. SUMMATION OF FEES	
SUBTOTAL (1)	\$ 0.00
SUBTOTAL (2)	\$ 0.00
SUBTOTAL (3)	\$ 0.00
TOTAL FEES	\$ 0.00

SUBMITTED BY		Complete (If Applicable)		
Typed or Printed Name	David R. Preston		Registration Number:	38,710
Signature	Chen Der	Date: 7/25/2	Deposit Account User ID Number	501321



#### **Patent**

Docket Number: AM-00102.P.1.1-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of:

Efimov et al.

Application No.: 10 072,975

Art Unit: 1651

Filed: February 9, 2002

For: OLIGONUCLEOTIDE ANALOGUES, )

METHODS OF SYNTHESIS, AND

METHODS OF USE

Description:

METHODS OF USE

Assistant Commissioner for Patents Washington D.C. 20231

Sir:

### INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO 1449, copies of which were provided in copending United States Application No. 09/805,296 (see, 37 C.F.R. §1.98).

This statement is being filed before the mailing of a First Office Action on the merits under 37 C.F.R. § 1.97(a)(3). Accordingly, no fee under 37 C.F.R. § 1.17(p) is deemed necessary.

### Please apply any charges not covered, or any credits, to <u>Deposit Account number</u> 501321 in the name of David R. Preston & Associates, having <u>Customer Number 24232</u>.

David R. Preston & Associates, A.P.C. 12625 High Bluff Drive

Suite 205

San Diego, CA 92130

phone: 858.724.0375 facsimile: 858.724.0384 Respectfully submitted,

David R. Preston Reg. No. 38,710





## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

Docket Number: AM-00102.P.1.1-US	Patent Number: 10 072,975	INEO N
Applicant: Etîmov et al.		: :
Filing Date: February 9, 2002	Group Art Unit: 1651	-

			U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL		DOCUMEN I NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRI ATE
	Pl	5,432,272	7 11 95	Brenner			
	P2	5,508,178	4 16 96	Rose et al.			
	Р3	5,539,082	7 23/96	Nielsen et al.			
	P4	5,641,625	6/24/97	Ecker et al.			
	P5	5,656,461	8/12/97	Demers			
	Р6	5,714,331	2/3/98	Buchardt et al.			
	P7	5,719,262	2/17/98	Buchardt et al.			
	P8	5,736,336	4/7/98	Buchardt et al.			
	Р9	5,766,855	6-16-98	Buchardt et al.		-	-
	P10	5,773,571	6/30/98	Nielson et al.			
	P11	5,786,461	7/28/98	Buchardt et al.			
	P12	5,837,459	11:17:98	Berg et al.			
	P13	5,861,250	1/19/99	Stanley et al.			
	P14	5,864,010	1-26-99	Cook et al.			
	P15	5,874,553	2 23 99	Peyman et al.			
	P16	5,888,733	3 30 99	Hyldig-Nielson et al.			
	P17	5,932,711	8-3-99	Boles et al.			

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			U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAI		DOCUMEN T NUMBER	DAIL	NAME	0 1 A 88	SUB- CLASS	FILING DATE IF APPROPRIATE
	P18	5,972,610	10 26 99	Buchardt et al.			
	P19	5,977,296	11 2 99	Nielson et al.			
	P20	6,004,750	12 21 99	Frank-Kamenetskii et al.			
	P21	6,015,887	1 18 00	Teng			
	P22	6,020.124	2 1 00	Sorenson			
	P23	6,020,126	2 1 00	Carlsson et al.			
	P24	6,025,140	2 15 00	Langel et al.			
	P25	6,025,482	2.15-00	Cook et al.			
	P26	6,045,995	4/4/00	Cummins et al.			
	P27	6,060,242	5/9/00	Nielson et al.		-	
	P28	6,063,571	5/16/00	Uhlmann et al.			
	P29	6,107,470	8/22/00	Nielson et al.		***************************************	
	P30	6,110,676	8 26 00	Coull et al.			
	P31	6,110,678	8:29:00	Weisburg et al.			
	P32	6,150,510	11/21/00	Seela et al.			
	P33	6,165,720	12-26-00	Felgner et al.			
	P34	6,180,770	1/30/01	Boles et al.			

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		FC	DREIGN PA	TENT DOCUM	1ENTS		_	
EXAMINER		DOCUMENT	DATE	COUNTRY	CLASS	SUB-	Translation	
INITIAL		NUMBER				CLASS	YES	NO
	F1	WO 92 002258	2 20 92					
	F2	WO 92 20702	11 26 92					
	F3	WO 93 10820	6 10 93					
	F4	WO 94 22892	10 13 94					
·	F5	WO 94 24144	10 27 94					
	F6	WO 99 14266	3-25-99					
	F7	WO 00/56746	9:28:00					
	F8	WO 00/56748	9/28/00					
	F9	WO 00/56916	9/28/00					
	F10	WO 00/56920	9/28/00					

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		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
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Examiner	Date	
Signature	Considered	

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

Docket Kumber: AM-00302.P.1.1-US	Patent Number: 10 072,975	RECE	VE
Applicant: Efimov et al.	- T:	rolles Adda	
Filing Date:	Group Art Unit:		

			U.S.	PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMEN I NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	P1	5,760,201	6 2 98	Glazer et al.			
	P2	5,783,687	7 21 98	Glazer et al.			
-	Р3	6,054,272	4-25-00	Glazer et al.			
	P4	6,180,767	1 30 01	Wickstrom et al.			
	P5	6,232,066	5 15 01	Felder et al.			
	Р6	6,280,946	8/28/01	Hyldig-Nielsen et al.			
	P7	6,312,956	11/6/01	Lane			
	P8	6,326,479	12/4/01	Gildea et al.			

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL	DOCUMENT NUMBER		SUB-	Translation				
				CLASS	YES NO			
	F1	WO 99/60156	11/25/99					
	F2	WO 00/34521	6/15/00					
	F3	WO 01/01144	1/4/01					
	F4	WO 01/38565	5/31/01					
	F5	WO 01 68673	9/20/01					

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Signature	Considered	

		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
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	DI	Bergmann et al., Solid Phase Synthesis of Directly Linked PNA-DNA-Hybrids, Tetrahedron Letters 36: 6823-6826 (1995).
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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

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Applicant: Efimov et al.		EOH DENT	FP 1800 2900
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